

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Group Art Unit 2815

Examiner: Lee, Eugene

Atty. Dkt. P 280087

M#

Appl. Title: LIGHT-EMITTING APPARATUS

Inventor(s): UEMURA

Appl. No.: 09

828,159

Series Code ↑

Serial No. ↑

Filed: April 9, 2001

Hon. Commissioner of Patents  
Washington, D.C. 20231

Sir:

## REPLY/AMENDMENT/LETTER

Date: September 26, 2002

This is a reply/amendment/letter in the above-identified application and includes the herewith attachment of same date and subject which is incorporated hereinto by reference and the signature below is treated as the signature to the attachment in absence of a signature thereto.

## FEE REQUIREMENTS FOR CLAIMS AS AMENDED

1. Small Entity claim

A. ☒ NOT madeB. ☐ WithdrawnC. ☐ made herewithD. ☐ made previously

For B & C  
See **Required**  
**Separate** Paper  
(Pat-256)

	Claims remaining after amendment	Highest number previously paid for	Present Extra	Large/Small Entity	Additional Fee	Fee Code Lg/Sm
2. Total Effective Claims	12	**minus 20	0	x \$18/\$9 =	+ \$0	103/203
3. Independent Claims	3	***minus 3	0	x \$84/\$42 =	+ \$0	102/202
4. If amendment enters proper multiple dependent claim(s) into this application for first time (leave blank if this is a reissue application) .....				+ \$280/\$140 =	+ \$0	104/204
5. Original due Date: August 29, 2002	<input type="checkbox"/> NONE					
6. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached	(1 mo)	\$110/\$55 =		+ \$110		115/215
	(2 mos)	\$400/\$200 =				116/216
	(3 mos)	\$920/\$460 =				117/217
	(4 mos)	\$1,440/\$720 =				118/218
	(5 mos)	\$1,960/\$980 =				128/228
7. Enter any previous extension fee paid since above original due date and subtract			- \$0			
8.	Extension Fee				+ \$110	
9. If Terminal Disclaimer attached, add Rule 20(d) official fee .....			+ \$110/\$55	+ \$0		148/248
10. If IDS attached requires Official Fee under Rule 97 (c), .....			+ \$180	+ \$0		126
or if Rule 97(d) Request .....			+ \$180			126
11. After-Final Request Fee per rules 129(a) and 17(r) .....			+ \$740/370	+ \$0		146/246
12. No. of additional inventions for examination per Rule 129(b) .....			x \$740/370 ea	+ \$0		149/249
13. Request for Continued Examination (RCE) .....			+ \$740/370	+ \$740		1179/1279
14. Petition fee for .....				+ \$0		
15.	TOTAL FEE =				\$850	
16. *If the entry in this space is less than entry in next space, the "Present Extra" result is "0".						PLEASE CHARGE OUR DEP. ACCT
17. **If the "Highest number previously paid for" in this space is less than 20, write "20" in this space.						
18. ***If the "Highest number previously paid for" in this space is less than 3, write "3" in this space.						

Our Deposit Account No. 03-3975)

(Our Order No. 51273

280087

C#

M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.

Query: Is appeal deadline now? If so, file Notice of Appeals separately.

Pillsbury Winthrop LLP

Intellectual Property Group

By Atty: John P. Darling

Sig:

Reg. No. 44,482

Fax: (703) 905-2500

Tel: (703) 905-2045

P.O. Box 10500  
McLean, VA 22102  
Tel: (703) 905-2000

Atty/Sec: JPD/tmt

NOTE: File this cover sheet in duplicate with PTO receipt (PAT-103A) and attachments

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT  
APPLICATION

In re: PATENT APPLICATION of:

Inventor(s): UEMURA

Group Art Unit 2815

Examiner: Lee, Eugene

Appln. No.: 09 | 828,159

Atty. Dkt. P 280087

T36-133137M/KOH

Series Code ↑ | Serial No. ↑

M#

Client Ref

Filed: April 9, 2001

Title: LIGHT-EMITTING APPARATUS

R  
RCE  
EDO NOT USE FOR PROVISIONAL,  
DIVISIONAL, CIP OR DESIGN  
APPLICATIONS, OR REEXAMINATION OF  
PATENTSHon. Commissioner of Patents  
Washington, D.C. 20231

Date: September 26, 2002

Sir:

**REQUEST FOR CONTINUED EXAMINATION (RCE) UNDER RULE 114**

Please continue the examination of this application.

**PREREQUISITES**This application was filed on/after June 8, 1995, is not abandoned, and no court action has been filed, or if filed, it has been terminated.An issue fee **has not been** paid (unless a petition under Rule 313(c)(2) is also being filed - see item 4 below).**Prosecution has been closed as defined in Rule 114(b).****Reply to any outstanding action must be enclosed or previously filed.**

This application is entitled under Rule 114 to withdrawal of any outstanding finality or of any allowance plus a new action by the Examiner. Consideration on the merits of each submission (e.g., IDS, Amendment, new arguments, new evidence, but not appeal/reply briefs themselves) filed herewith is respectfully requested.

**Please consider the following before the next Official Action:**

1. Please ☒ enter ☐ do not enter the Amendment filed August 23, 2002
2. ☐ The enclosed new Amendment
3. ☐ Consider the arguments in the appeal brief filed \_\_\_ and reply brief filed
4. ☐ The issue fee has been paid, but this RCE is based on Rule 313(c)(2). See enclosed petition.
5. ☐ The enclosed Information Disclosure Statement
 

☐ IDS Letter  
☐ PTO-1449

☐ Cited Appln  
☐ Foreign Search Report/OA  
☐ Cited Documents
6. ☐ Please suspend action under Rule 103(c) for a period of \_\_\_ months (3 mos. Max) for which charge the required \$130 fee (fee code 098) to our Deposit Account (see below).
7. Petition is hereby made to extend the **original** due date of August 29, 2002 to  
 cover the date this Request is filed. **PLEASE CHARGE** the requisite fee to our  
 Deposit Account (see below)
 

(1 mo)	\$110/\$55
(2 mos)	\$400/\$200 + 110
(3 mos)	\$920/\$460
8. **PLEASE CHARGE** the Rule 17(e) (RCE) filing fee of ☒ \$740 (lg. ent.) ☐ \$370 (sm. ent.) plus any  
 deficiency and any other fee due now or later to our Deposit  
 Account No. 03-3975 under Order No. 51273 / 280087
 

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**NOTE: Rule 17(e) filing fee Cannot be deferred!**  
**NO CLAIMS FEE REQUIRED** unless you are adding claims by box 2 Amendment in which case cover this with PAT-120.

Pillsbury Winthrop LLP

Intellectual Property Group 01 FC:179  
02 FC:115

09/27/2002 SSESHE1 00000067 033975 09828159

P.O. Box 10500  
McLean, VA 22102

By Atty: John P. Darling

740.00 CH  
110.00 CH

Reg. No. 44,482

(703) 905-2000  
Atty/Sec: JPD/tmt

Sig:

Fax: (703) 905-2500

Tel: (703) 905-2045

**NOTE: File this Request (plus enclosures, if any) in duplicate and with PTO receipt (PAT-103A)**